



U.S. Department of Health and Human Services

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Agency for Healthcare Research and Quality

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# **Panel Discussion: Lessons Learned in Using the AHRQ QIs to Improve the Quality and Safety of Care**

**December 9, 2015**



# Announcements

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- This webinar will be recorded and available on the AHRQ QI website - <http://www.qualityindicators.ahrq.gov/>.
- All participant lines will remain in listen-only mode.
- You may submit webinar questions via the **question feature** at any time; however, questions will be answered only during the Q&A sessions. Your questions will only be visible to the moderators.
- If multiple people from your organization are dialing in from the same location, please use only one line.
- For technical difficulties, please contact **Lee Thompson** at [lthompson@air.org](mailto:lthompson@air.org).



# Objectives

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- **Share case studies about the impact of the AHRQ QIs on two health systems**
- **Create a peer-to-peer learning opportunity for organizations to share how they are using the AHRQ QIs to improve the quality of care**
- **Identify resources to support use of the AHRQ QIs for performance improvement**



# Today's Moderators

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**Cheryl Fahlman, PhD, BSP**  
Principal Research Scientist,  
American Institutes for Research



**Diane Stollenwerk, MPP**  
President,  
StollenWerks, Inc.





# Agenda

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1. Overview of the AHRQ Quality Indicators (QIs)
2. Case Studies
  - ▶ Stephen Allegretto, *Vice President, Analytic Strategy & Financial Planning, Yale New Haven Health System*
  - ▶ Verna Alverson, RN, BSN, *Inpatient Clinical Quality Analyst, Essentia Health*
3. Q&A/Discussion

# OVERVIEW OF THE AHRQ QUALITY INDICATORS

A screenshot of the AHRQ Quality Indicators website. The top navigation bar is blue and contains the text 'AHRQ Quality Indicators™' followed by links for 'Home', 'Modules', 'Software', 'News', 'Resources', 'FAQs & Support', and 'Archives'. A search box on the right contains the text 'Search AHRQQ' and a 'Search' button. Below the navigation bar is a large banner image showing a group of surgeons in an operating room, wearing masks and caps, with a focus on their faces and hands. Below the banner are four blue rectangular buttons, each with a title and a '>> More Info' link: 'Prevention Quality Indicators', 'Inpatient Quality Indicators', 'Patient Safety Indicators', and 'Pediatric Quality Indicators'.





# What Are the AHRQ QIs?

## Free Software Program

SAS

Windows

### Evidence-based measures

Use hospital  
claims data

Standardized

### Improve care quality

Performance  
improvement

P4P, Public  
reporting

Many NQF  
endorsed



# The AHRQ QI Modules

Module:	What the module reflects:	Examples:
<b>Patient Safety Indicators (PSIs)</b>	Quality of hospital care for adults Focus on potentially avoidable complications and errors that occur during a hospital inpatient stay	Pressure ulcers Postoperative sepsis
<b>Inpatient Quality Indicators (IQIs)</b>	Quality of hospital care for adults <ul style="list-style-type: none"> <li>• Inpatient mortality for medical conditions</li> <li>• Inpatient mortality for surgical procedures</li> <li>• Utilization of procedures for which there are questions of overuse, underuse, or misuse</li> <li>• Volume of procedures with evidence that higher hospital volume of procedures may be associated with lower mortality</li> </ul>	Pneumonia mortality  Bilateral cardiac catheterization
<b>Prevention Quality Indicators (PQIs)</b>	Hospitalization for ambulatory care sensitive conditions that reflect access to and quality of outpatient care	Asthma Low birth weight
<b>Pediatric Quality Indicators (PDIs)</b> Includes neonatal development indicators, NQIs	Quality of hospital care for children 18 years and younger and neonates (NQIs) <ul style="list-style-type: none"> <li>• Potential complications and errors resulting from a hospital admission for children and adolescents</li> <li>• Potentially avoidable hospitalizations among children</li> </ul>	Neonatal mortality Postop. sepsis





# Federal Initiatives Using AHRQ QIs\*

	Indicator Module			
	Inpatient (IQI)	Patient Safety (PSI)	Pediatric (PDI)	Prevention (PQI)
HAC Reduction Program	✓	✓		
Hospital Inpatient Quality Reporting Program	✓	✓		
Hospital VBP		✓		
Shared Savings Program				✓
Partnership for Patients	✓	✓	✓	
Health Care Innovation Awards (CMMI)		✓	✓	✓
Hospital Compare	✓	✓		
ACO: Accelerated Development Learning Sessions (CMMI)		✓	✓	
Home and Community Based Services		✓		✓

\*A sample of CMS and CMMI initiatives that use the AHRQ QIs



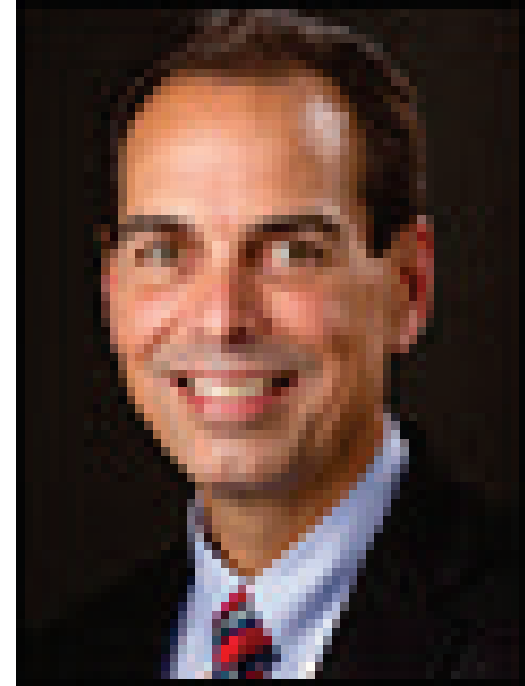
# **CASE STUDIES: LESSONS LEARNED IN USING THE AHRQ QIs**



# Case Study 1: Yale New Haven Health System (YNHHS)

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**Yale New Haven Health System Uses AHRQ's Patient Safety Indicators (PSIs) as Critical Tool in Reducing Quality Variation While Lowering Costs**



**Steve Allegretto, CPA, MPH**  
*Vice President, Analytic Strategy & Financial Planning*

# About Yale New Haven Health System



Yale School of Medicine



Yale Medical Group



Bridgeport Hospital



Greenwich Hospital



Smilow Cancer Hospital



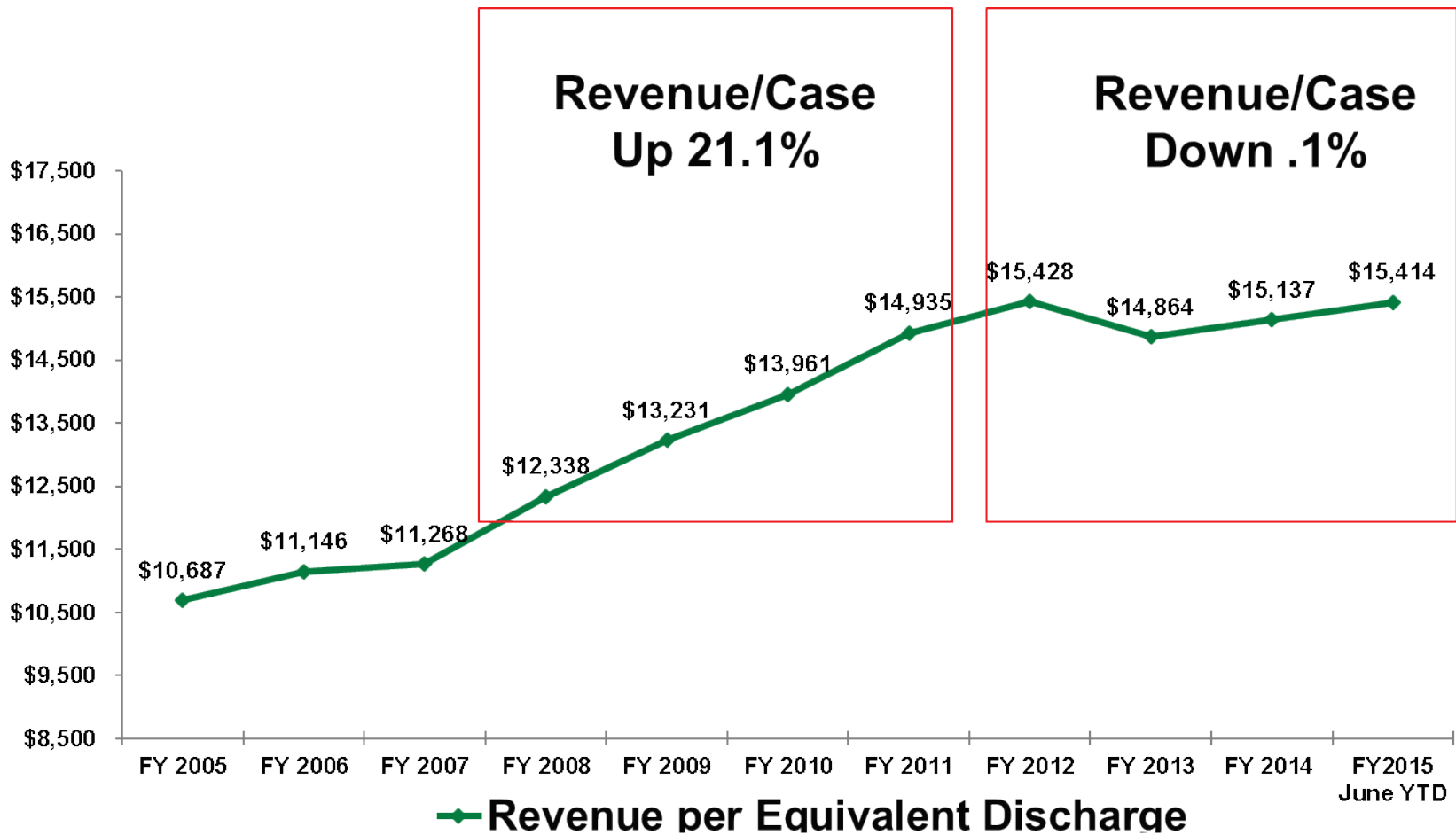
Yale-New Haven Hospital



Northeast Medical Group

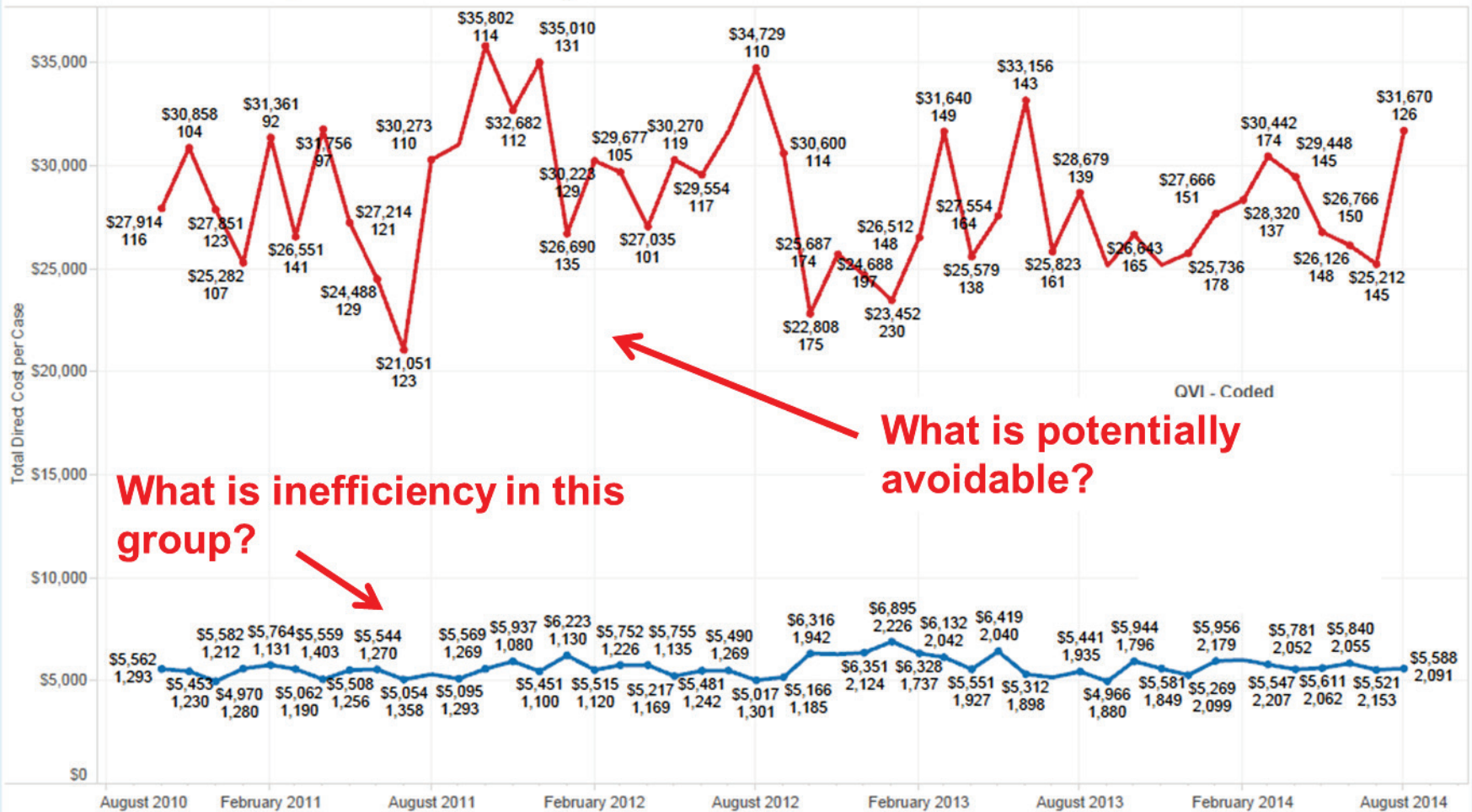
- ◆ Largest, most integrated healthcare system in Connecticut including:
  - Yale-New Haven Hospital, Bridgeport Hospital, Greenwich Hospital and Smilow Cancer Hospital
  - Physician practice organizations; ancillary primary, urgent and emergent care facilities
  - Common electronic health record system on a single database instituted in hospitals, community health facilities, physician offices and FQHCs
- ◆ 89,998 patient discharges and 1,255,283 outpatient encounters with \$3.5 billion in revenue

## The YNHHS Revenue Challenge



# Cases with a PSI/Complication/Quality Variation Indicator (QVI)

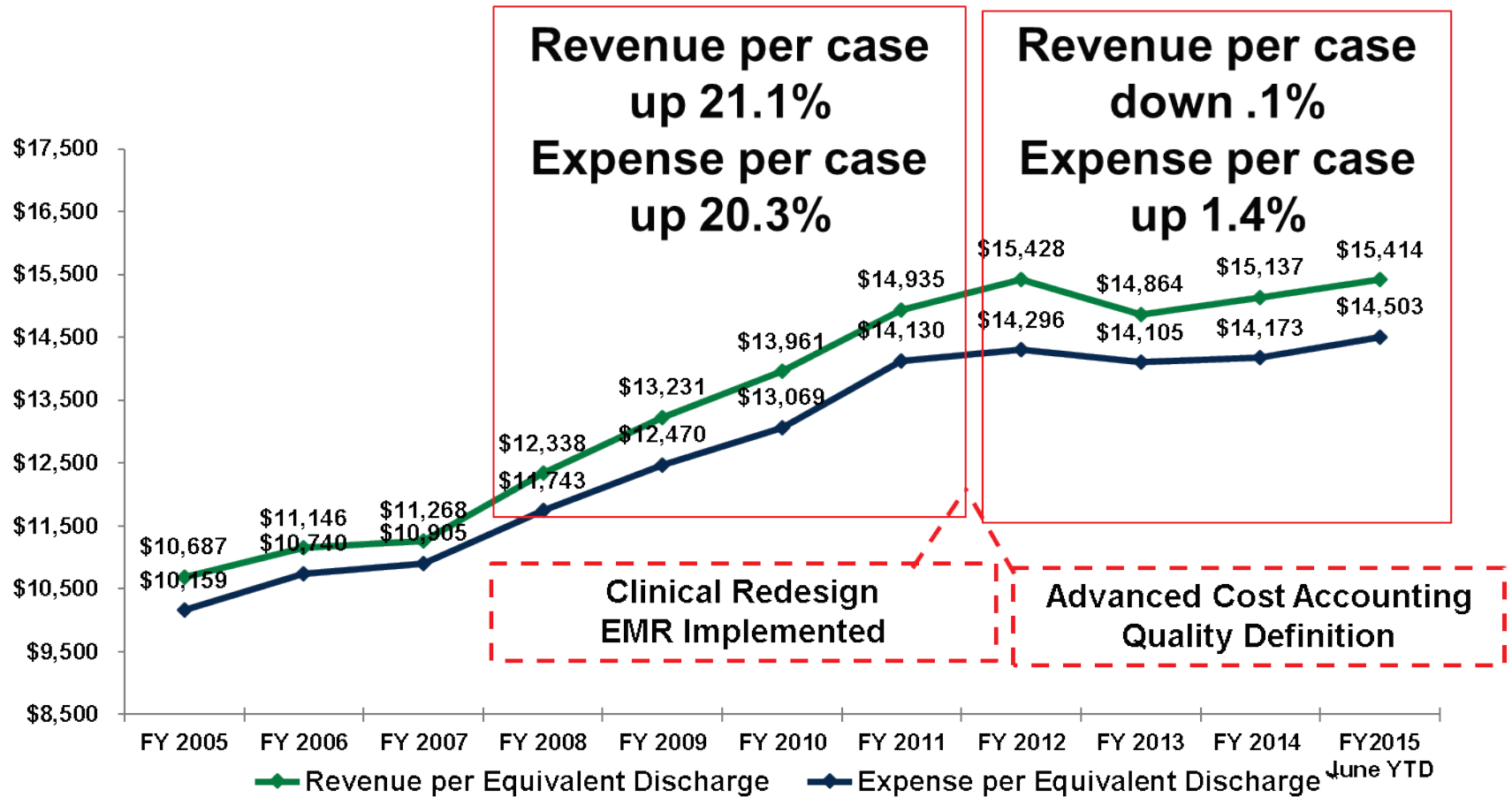
Total Direct Cost Per Case  
With and Without Coded QVIs: Yale-New Haven Hospital/MEDICINE



What is inefficiency in this group?

What is potentially avoidable?

## Better Quality Generating Better Margins





# AHRQ Adverse Event/Complication Example

## Problem:

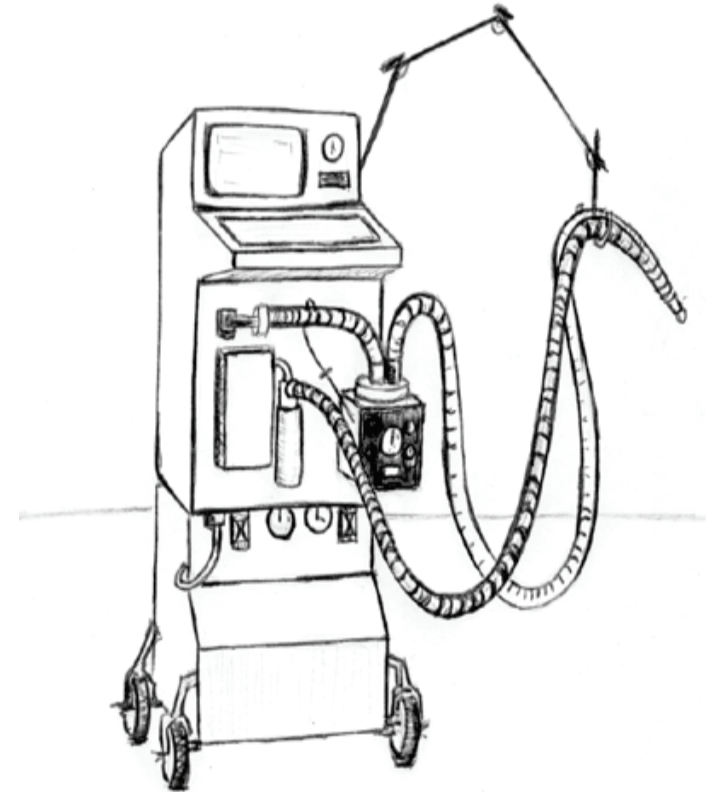
Higher than expected incidence of VAP in SICU (BH)

## Analysis:

VAP is considered a generally avoidable adverse event associated with ventilator management. VAP is one of YNHHS's complications/QVIs reported on monthly.

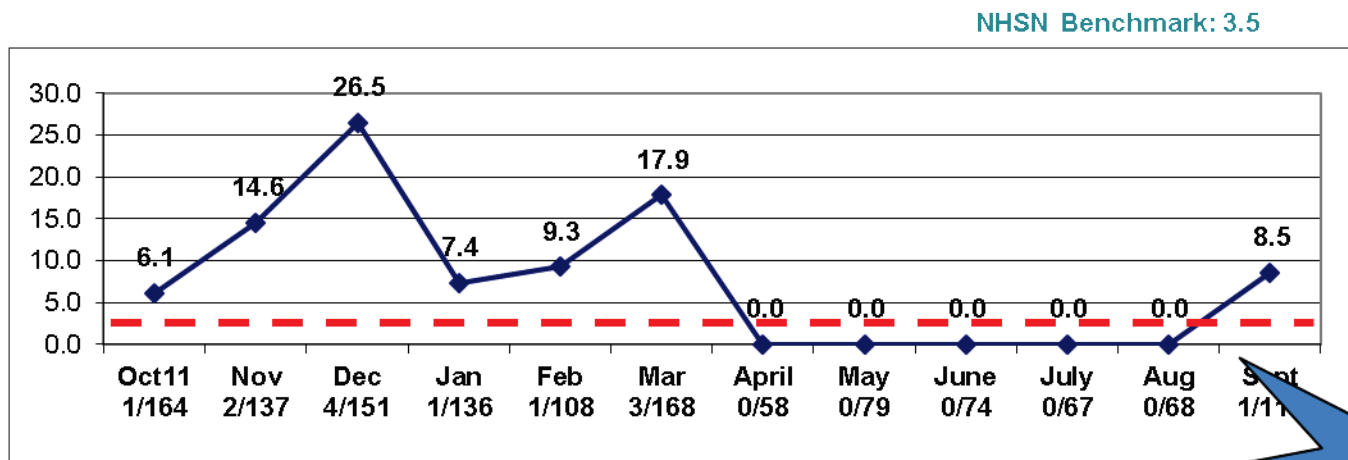
## Intervention:

- Medical and surgical critical care at BH, later YNHHS all agreed on single vent weaning protocol
- Enhanced compliance with Ventilator Bundle



# Case Study Quality Outcomes

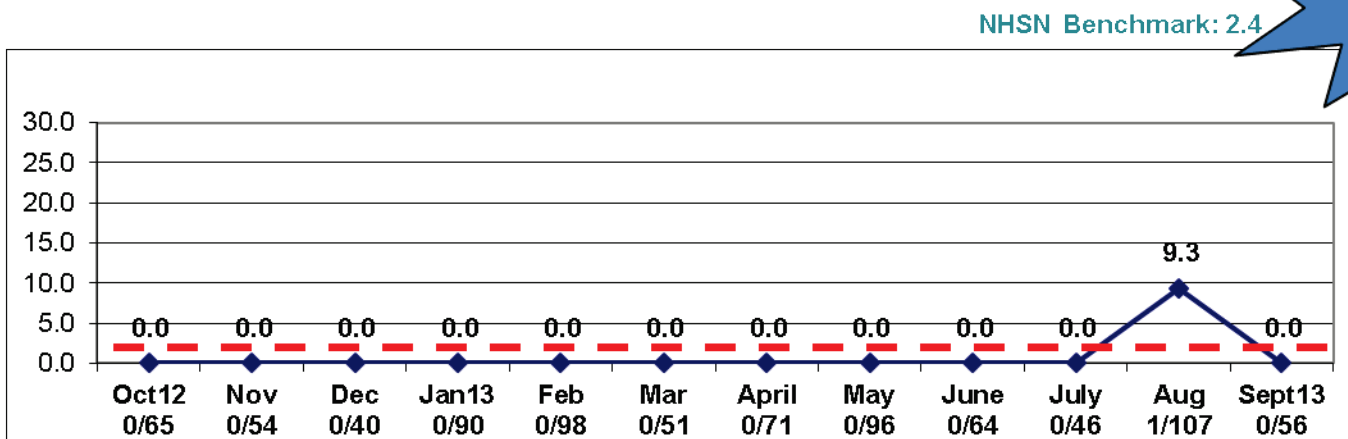
**FY 2012:  
13 VAPS**



**FY2012  
RATE:  
9.8  
(13/1327)**

Decreased VAP rate from FY'12 to '13

**FY2013:  
1 VAP**



**FY2013  
RATE:  
1.2  
(1/838)**

# Case Study Financial Outcomes

Fiscal Year	Cases (all critical care units)	Cost Average for all cases*
2012	18	\$1,350,000
2013	10	\$750,000
2014	5	\$375,000
2015**	1	\$75,000

Over 31,000 **VAP cases** annually are estimated to occur in US Acute Care Hospitals with **over 75%** of this HAI identified as being **preventable** resulting in **\$3.1 Billion** of potential cost reductions relating to improved quality \*\*\*

**At Bridgeport Hospital, cost per case of patients with VAP ranges from \$60,897 to \$95,980 per case each year (FY'11-FY'14)**

**\*Calculated using \$75,000 per case (FY'14 cost/case = \$77,862)**

**\*\*Year to date----And over the last 3 years avoided/saved over\_\_\_\_M?**

\*\*\*Zimlichman E, et al. HealthCare-Associated Infections: A Meta-analysis of Costs and Financial Impact on the US Health Care System. JAMA Internal Medicine. September 2, 2013

## Looking Ahead.....

- Reduction of:
  - Iatrogenic Pneumothoraces (PSI 06)
  - Deep Vein Thrombosis (PSI 12)
- Participant in **Bundled Payments** (orthopedic bundles)—better utilization and adverse event reduction to decrease cost, readmissions—the ultimate Clinical Redesign
- Vendor RFP and **Internal Cost Savings Gain Sharing**



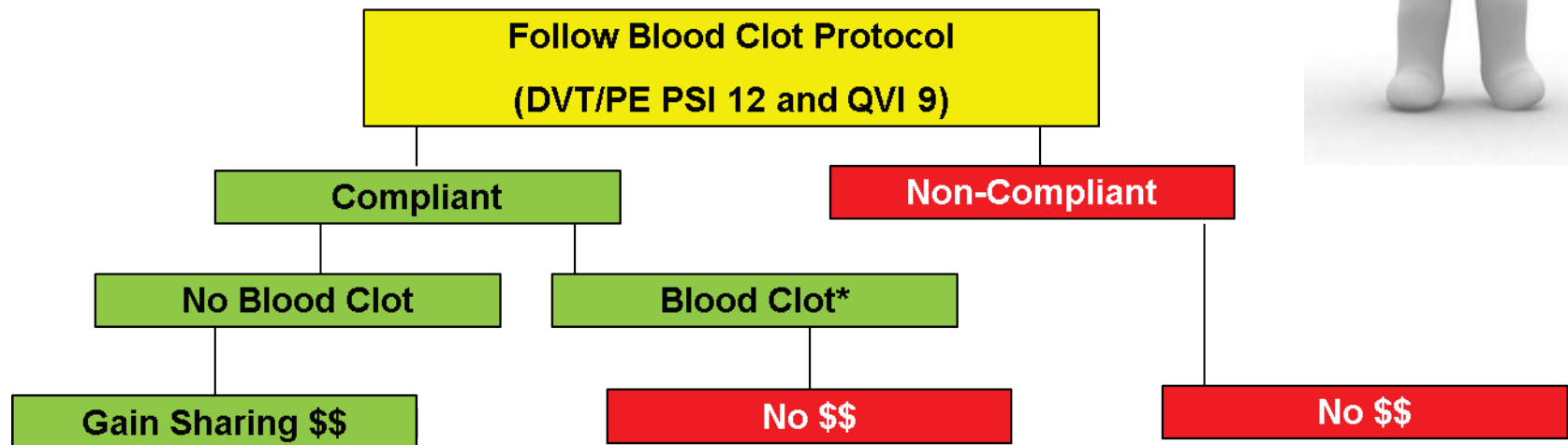
# Cost Savings and Gain Sharing Model for DVT/PE

*Surgeons participate in both elements of model*

## Element 1: Cost Savings

Successful RFP negotiation to decrease implant costs

## Element 2: Gain Sharing



\* Approx. 1% on Index Admission Only

## **Surgical Site Infection (SSI) Patient Story**

### **68-year-old Medicare-Insured YNHH Primary Service Area Patient (Bundled Payment Episode)**

**Jun 2014: Left total hip arthroplasty (THA) due to osteoarthritis**

- ◆ Physically active preoperatively: despite hip pain patient mows lawn & walks his dogs
- ◆ Uneventful surgery & 6-day hospital stay with discharge to Grimes

**Jul 2014: Infection discovered;** -no readmissions including prosthesis head change

**Jul 2014-Jan 2015:** 9 outpatient visits; 2-six week rounds of IV antibiotics; central venous line placement; extended long term care stay ; 6 months of adapted/abbreviated joint rehab due to pain & limited mobility caused by infection

**Feb 2015: Infection resolved** (7 months post-op)

**Feb-Jun 2015:** 3 Infectious disease follow-up visits for infection monitoring; 2 outpatient visits with wound infection as principal diagnosis; now on long-term/lifetime suppression oral antibiotic

- ◆ **Golf ball-size hip incision swelling persists**

# QUESTIONS?

**Steve Allegretto, CPA, MPH**

*Vice President, Analytic Strategy & Financial Planning*

Yale New Haven Health System

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# Case Study 2: Essentia Health

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**Essentia Health Uses  
AHRQ's Patient Safety  
Indicators (PSIs) to  
Improve Patient Safety  
Through Education  
and Prevention  
Activities**



**Verna Alverson, RN, BSN**  
*Inpatient Clinical Quality  
Analyst*



## Case Study 2: Essentia Health (cont'd.)

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### About Essentia Health

- A private non-profit integrated health system with facilities in 4 states (Idaho, Minnesota, North Dakota, Wisconsin)
- A \$1.7 billion enterprise with more than 14,000 employees including 1,500 physicians and advanced practitioners
- Includes 16 hospitals, 69 clinics, 8 long-term care facilities, 2 assisted living facilities, 4 independent living facilities, and 1 research institute

**Our focus on patient safety indicators stemmed from the emphasis placed on these indicators by value-based purchasers.**



# Case Study 2: Essentia Health (cont'd.)

## Opportunities for Improvement

- Benchmark report found that select PSIs were higher than national benchmarks

## Goal

- Improve patient safety and pay for performance by prioritizing improvement on a subset of 10 AHRQ PSIs

### AHRQ PSIs

PSI 3: Pressure Ulcer Rate

PSI 6: Iatrogenic Pneumothorax Rate

PSI 7: Central Venous Catheter-Related Blood Stream Infection Rate

PSI 8: Postoperative Hip Fracture Rate

PSI 9: Perioperative Hemorrhage or Hematoma Rate

PSI 11: Postoperative Respiratory Failure Rate

PSI 12: Perioperative Pulmonary Embolism or Deep Vein Thrombosis Rate

PSI 13: Postoperative Sepsis Rate

PSI 14: Postoperative Wound Dehiscence Rate

PSI 15: Accidental Puncture or Laceration Rate



## Case Study 2: Essentia Health (cont'd.)

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### Implementing the PSIs

- **PSI 9** (Perioperative Hemorrhage or Hematoma Rate)
  - ▶ Examined procedures that frequently cause hematoma, hemorrhage, or bruising
  - ▶ Linked increased occurrence to use of new hemostatic wristband for angiograms
  - ▶ Convened working group of physician, nursing, and education staff to develop improved and consistent process for securing the wristband

**Result = Targeted provider engagement and education efforts led to safer patient care and improvement in PSI 9 rate.**



# Case Study 2: Essentia Health (cont'd.)

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## Implementing the PSIs

- **PSI 15 (Accidental Puncture or Laceration Rate)**

- ▶ Examined the data and found that some of the cases flagged as a patient safety event by the indicator were incorrectly coded as 'accidental' and that these lacerations were inherent to the particular surgical procedure
- ▶ Instituted case-by-case peer review by a physician in the relevant specialty
- ▶ Using AHRQ's website and other resources, created educational materials for providers about the definition of accidental puncture or laceration

**Result = Essentia Health-Fargo reduced PSI 15 from a rate of 1.2 events per 1000 eligible procedures in 2013 to 0.07 in the first half of 2015—a rate that is better than the national average.**



# Case Study 2: Essentia Health (cont'd.)

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## Lessons Learned

- Provider education and prevention activities are critical to improving patient safety.
- The PSIs show us where to focus – we use the technical specifications to examine each case individually, identify opportunities to improve clinical care delivery, and then go back to frontline to make the improvements.
- The AHRQ QI website includes a wealth of evidence-based information and toolkits that provide adaptable solutions, guide the provision of safe care, and reduce patient harm.



# QUESTIONS?

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**Verna Alverson RN, BSN**

*Inpatient Clinical Quality Analyst*

Essentia Health

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# DISCUSSION



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# IMPLEMENTING THE AHRQ QIs

Resources and Support

## AHRQ QI Toolkit Includes:

- Assess readiness to change
- Apply QIs to your data
  - ▶ Detailed guidance
  - ▶ Understand your rates
  - ▶ Trends and comparisons
- Identify quality improvement priorities
- Implement improvements
- Monitor progress
- Analyze return-on-investment (ROI)

Available at:

<http://www.ahrq.gov/professionals/systems/hospital/qitoolkit/index.html>





# Additional Resources

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- AHRQ QI website
  - ▶ <http://www.qualityindicators.ahrq.gov/>
- AHRQ QI technical assistance
  - ▶ [http://www.qualityindicators.ahrq.gov/FAQs\\_Support/](http://www.qualityindicators.ahrq.gov/FAQs_Support/)
  - ▶ [QIsupport@ahrq.hhs.gov](mailto:QIsupport@ahrq.hhs.gov)
- AHRQ QI software and documentation (SAS and WinQI)
  - ▶ <http://www.qualityindicators.ahrq.gov/Software/Default.aspx>



# Webinars

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- Upcoming (Spring 2016)
  - ▶ SAS Version 6.0 QI Software
  - ▶ WinQI Version 6.0 Software
  - ▶ AHRQ QI Transition to ICD-10



# Thank You

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**Thank you to our speakers and  
participants!**

**General Questions and Comments:**

AHRQ QI Support Team

[QIsupport@ahrq.hhs.gov](mailto:QIsupport@ahrq.hhs.gov)